

09092014001620



# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, MandaluyongCity, MetroManila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

# **Barcode Page**

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Company Representative

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Company Information

SEC Registration No. A199701584

Company Name PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

)

Industry Classification Savings And Mortgage Banking

Company Type Stock Corporation

# **Document Information**

Document ID 109092014001620

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23B

Period Covered August 12, 2014

No. of Days Late 0
Department CFD

Remarks FRANCIS TIU LEE

# **COVER SHEET**

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PHILIPPINE	BUSI	N E S S B	ANK, INC.							
	s a v i n	g s b a n i	k							
			<u> </u>							
	(Company's	Full Name)								
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CA	LOOCA	N CITY								
(Bu	siness Address; No. Str	eet City / Town / Province)								
Alice P. Rodil			363-3333 local 2004							
Contact Person Company Telephone Number										
	SEC F	ORM 23-B								
Month Day Fiscal Year	FOF	RM TYPE	Month Day Annual Meeting							
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	Secondary Licen	se Type, If Applicable								
Dept. Requiring this Doc.		F	Amended Articles Number/Section							
		Total Am	nount of Borrowings							
Total No. of Stockholders		Domestic	Foreign							
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#### SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

FORM 23-B

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

1. Name and Ad	dress of Reporting Person		2. Issuer Name and Ti	ading Symbol			7. Relation	ship of Reporting Person to Iss		<del></del>
LEE	FRANCIS		PHILIPINE BUSINESS	BANK, INC. / PBB					(miser an abhreno)	
(Last)	(First)	(Middle)	3. Tex Identification Number 113-336-814		5. Statement for Month/Year		] =	Director Officer (give title below	)	10% Owner Other (specify below)
#15 Masig	gla St., East Ave.				Aug-14			-		,
	(Street)		4. Citizenship		6. If Amendment, I Original (Month)				Chairman/Direct	or
Quezon C			Hilipino							
(City)	(Province)	(Postal Code)				Table 1 - Eq	uity Securiti	es Beneficially Owned		
1. Class of Equity Security		Transaction     Date	ired (A) or Disposed o	(O)	Month	of Securities Owned at End of	4 Ownership Form: Direct (D) or Indirect (I) *	Nature of Indirect Beneficial     Ownership		
		(Month/Døy/Year)	Amount	(A) or (D)	Price	-\\\	Number of Shares			
Common (	(Beginning)		7/15/2014				1	24,300,000		Record owner is the
										beneficial owner of
	<del>-</del>		8/12/2014		25% Sto	k Div. Decla	ration	6,075,000		the said shares
									-	indicated.
	<del></del>					TOTAL		30,375,000		
							<del> </del>			
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Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

(1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:

capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

- (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
- (B) investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner,
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

# FORM 23-B (continued)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivetive Security	Conversion or     Exercise Price     of Derivative     Security	3. Transaction Date (Month/Day/Yr)	Acquired (A) or Disposed of (D) Exercisable and		······································	6. Title and Underlying 8		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership	
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (i)	<u> </u>
						<del>                                     </del>						<del>                                     </del>
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												<u> </u>

Explanation of Responses:
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Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

## Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

## item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name:
- b. Residence or business address:
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

## Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

### Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

(Bignature of Reporting Person)

By:

FRANCIS T. LEE / CHAIRMAN (Name/Title)





109092014001730



# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Company Information

SEC Registration No. A199701584

Company Name PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

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Industry Classification Savings And Mortgage Banking

Company Type Stock Corporation

## **Document Information**

Document ID 109092014001730

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23B

Period Covered August 12, 2014

No. of Days Late 0
Department CFD

Remarks PETER N. YAP

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# **COVER SHEET**

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# SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

<b>FORM</b>	23-B

Check box if no longer subject to filing requirement

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

Name and Address of Reporting Person	2. Issuer Name and Ti	rading Symbol	-		7. Relation	ship of Reporting Person to	SSUEL (CHOOK AN ADDINATIO)				
YAP, PETER N.	PHILIPINE BUSINESS	BANK, INC. / PBB					(Ollock all application)				
(Last) (First) (Middle)	3. Tax Identification		5. Statement for			Director		10% Owner			
	Number		Month/Year		_*_	Officer	IW I	Other (specify below)			
28 B WACK WACK CONDO,	101-915-282	1	Aug-14	<b>.</b>		•	•	· · ·			
(Street)	4. Cittzenship		6. If Amendment, Original (Month)				VICE-CHAIRMAN	···			
MANDALUYONG CITY	Hilipino	Filipino									
(City) (Province) (Postal Code)				Table 1 - I		quity Securities Beneficially Owned					
1. Class of Equity Security	Transaction     Date	4. Securities Acqui	red (A) or Disposed of	of (D)	3. Amount Month	of Securities Owned at End	4 Ownership Form: Direct (U) or indirect (I) "	Nature of Indirect Beneficial     Ownership			
	(Month/Day/Year)	Amount	(A) or (D)	Price		Number of Shares					
Common	7/15/2014	Anoth	(7) 01 (0)	1100		167,848	-	Record owner is the			
	·		1	1		<u> </u>		beneficial owner of			
	8/12/2014		25% Sto	ck Div. Dec	laration	41,962		the said shares			
				T				indicated.			
		<b>1</b>		TOTAL		209,810					
				TIOINE		200,010					
				TOTAL		200,010					
				TOTAL		200,0.0					
				TOTAL		200,010					
				TOTAL		200,010					

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

(1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:

capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

- (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
- (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder, or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

FORM 23-B (continued)

4.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	Conversion or Exercise Price of Derivative Security	Transaction     Date (Month/Day/Yr)	Number of Derivet     Acquired (A) or Dis		Exercisable and Expiration Date (Month/Day/Year)		<ol> <li>Title and Amount of Underlying Securities</li> </ol>		7. Price of Derivative Security	Derivative Derivative Security Securities Beneficially Owned at	ship Form of Derivative	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (I)	
	-					<u> </u>						

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Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

## Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name:
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

## Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer,
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e, Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

# Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

(Signature of Reporting Person)

PETER N. YAP / VICE-CHAIRMAN

(Name/Title)





109092014001720



# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Company Name PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

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Industry Classification Savings And Mortgage Banking

Company Type Stock Corporation

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Document ID 109092014001720

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23B

Period Covered August 12, 2014

No. of Days Late 0

Department CFD

Remarks ROLANDO R. AVANTE

# **COVER SHEET**

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# SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

FORM 23-B

Check box if no longer subject

to filling requirement

REVISED

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

Name and Address of Reporting Person	2. Issuer Name and T	rading Symbol			7. Relation	ship of Reporting Person to Is	SUB!	
AVANTE ROLANDO R. (Last) (First) (Middle)	PHILIPINE BUSINESS 3. Tex Identification Number 106-968-62		5. Statement for Month/Year Aug-14	<del></del>	=	Director Officer (give title below		10% Owner Other (specify below)
No. 4 Parker Willis Street, BF HEVA, BF H	lomes		<b>-</b>					
(Street)	4. Citizenship		6. If Amendment, I Original (Month)				President & C.E	E.O.
Las Piñas City	Filipino							
(City) (Province) (Postal Code)				Table 1 - Eq	uity Securitie	es Beneficially Owned		
1. Class of Equity Security	2. Transaction Date	4. Securities Acqu	uired (A) or Disposed o	of (D)	Month	of Securities Owned at End o	4 Ownership Form: Direct (D) or Indirect (I)	Nature of Indirect Beneficial     Ownership
	(Month/Day/Year)	Amount	(A) or (D)	Price	<b>⊣ *</b>	Number of Shares		
Common (beginning)	7/15/2014	<del></del>	47			100,058		Record owner is the
				1				beneficial owner of
	8/12/2014		25% Sto	ck Div. Decla	aration	25,014		the said shares
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				TOTAL		125,072		
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Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

(1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:

capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

- (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
- (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder, or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding

(Print or Type Responses)

FORM 23-B (continued)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	Number of Derivati Acquired (A) or Dis		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and A Underlying S		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	of indirect (i)	
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Explanation	of	Responses:
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Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP 150% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

### Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name:
- b. Residence or business address:
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

## Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class\_of securities, state the date on which such beneficial ownership was reduced.

### Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

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epature of Reporting Person)

ROLANDO R. AVANTE / President & C.E.O (Name/Title)



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109092014001724



# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

## **Barcode Page**

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Receiving Officer/Encoder : Jose Rodel Taruc

Receiving Branch : SEC Head Office

Receipt Date and Time: September 09, 2014 03:31:13 PM

Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No. A199701584

Company Name PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

)

Industry Classification Savings And Mortgage Banking

Company Type Stock Corporation

**Document Information** 

Document ID 109092014001724

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23B

Period Covered August 12, 2014

No. of Days Late 0

Department CFD

Remarks JEFFREY SIY YAO

# **COVER SHEET**

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#### SECURITIES AND EXCHANGE COMMISSION Metro Maniia, Philippines

**FORM 23-B** 

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

YAO JEFREY SIY PHILPPINE BUSINESS BANK, INC. / PBB (Last) (First) (Modifie)  3. Tax Identification Number of Number of Number of State of Cottoer (Incept of Cottoer)  84 DAPITAN ST., COR. BANAWE ST., STA. MESA HEIGHTS.  (Street)  4. Criticership (Street)  4. Criticership (Street)  4. Criticership (Street) (S	1. Name and Addres	s of Reporting Person	2. Issuer Name and Tr	rading Symbol	<del></del>	<del></del>	7. Relation	ship of Reporting Person to Is		<del></del>
Clast   (Middle)   S. Tax Identification   Number   121-076-085   S. Statement for   Officer	YAO	JEFFREY SIY	PHILIPINE BUSINESS	BANK, INC. / PBB					(CHACK BH SPHICENS)	
(Street)  4. Citizenship City City City (Province) (Postal Code)  Table 1 - Equity Securities Beneficially Owned  1. Class of Equity Security 2. Transaction Date (Month/Day/Year) Amount (A) or (D) Price  Common (Beginning)  7/15/2014  8/12/2014  8/12/2014  2. Transaction Date (Month/Day/Year) Amount (A) or (D) Price  8/12/2014  2. Transaction Date (Month/Day/Year) Amount (A) or (D) Price  8/12/2014  2. Transaction Date (Month/Day/Year) Amount (A) or (D) Price  8/12/2014  2/2014  2/2014  2/2014  2/2014  2/2014  3. Amount of Securities Owned at End off 4 Ownership Form: Month Wonth Worth W		(First) (Middle)	Number			•	] =	Officer	)	
Common (Beginning)  Criginal (MonthYear)  Criginal (MonthYear)  Table 1 - Equity Securities Beneficially Owned  Table 1 - Equity Securities Beneficially Owned  1. Class of Equity Security  2. Transaction Date (Month/Day/Year)  Common (Beginning)  7/15/2014  Amount (A) or (D) Price  Common (Beginning)  7/15/2014  Securities Acquired (A) or Disposed of (D)  Month Number of Shares  Record owner is the beneficial owner of Shares  Securities Beneficially Owned  Securities Owned at End of 4 Ownership Form: Direct (L) or Indirect (I) ownership  Securities Beneficially Owned  Securities Owned at End of 4 Ownership Form: Direct (L) or Indirect (I) ownership  Securities Beneficial Ownership Form: Direct (L) or Indirect (I) ownership  Securities Ownership  Securities Owned at End of 4 Ownership Form: Direct (L) or Indirect (I) ownership  Securities Ownershi	84 DAPITAN	ST., COR. BANAWE ST., ST.	A. MESA HEIGHTS,		Aug-14					
City   (Province)   (Postal Code)   Table 1 - Equity Securities Beneficially Owned		(Street)	4. Citizenship						Director	
City   (Province)   (Postal Code)   Table 1 - Equity Securities Beneficially Owned	QUEZON CI	IY	Hilipino		-					
Date (Month/Day/Year)						Table 1 - Eq	quity Securition	es Beneficially Owned		
Amount (A) or (D) Price	1. Class of Equity Se	curity		4. Securities Acqu	uired (A) or Disposed o	of (ID)		of Securities Owned at End of	4 Ownership Form: Direct (D) or Indirect (I) "	1
Common (Beginning)         7/15/2014         864,286         Record owner is the beneficial owner of beneficial owner of the said shares           8/12/2014         25% Stock Div. Declaration         216,071         the said shares indicated.	Į		(Month/Day/Year)		1 (0) (0)			Number of Shares		
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8/12/2014 25% Stock Div. Declaration 216,071 the said shares indicated.	(= -,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	<u> </u>	,,,,,,,				+		•	<del></del>
indicated.			8/12/2014		25% Sto	ck Div. Decla	ration	216,071		
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If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security,
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

FORM 23-B (continued)

4.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivati Acquired (A) or Dis		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Underlying		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (I)	
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Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP 750% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

## Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

## Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name:
- b. Residence or business address:
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

### Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer,
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities. state the date on which such beneficial ownership was reduced.

#### Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, quarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

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Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

JEFFREY S. YAO / DIRECTOR (Name/Title)



109092014001716



# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

## **Barcode Page**

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Receiving Officer/Encoder : Jose Rodel Taruc

Receiving Branch : SEC Head Office

Receipt Date and Time: September 09, 2014 03:29:27 PM

Received From : Head Office

Company Representative

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Company Information

SEC Registration No. A199701584

Company Name PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

)

Industry Classification Savings And Mortgage Banking

Company Type Stock Corporation

# **Document Information**

Document ID 109092014001716

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23B

Period Covered August 12, 2014

No. of Days Late 0

Department CFD

Remarks ROBERTO ADORABLE ATENDIDO

# **COVER SHEET**

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# SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

FORM 23-B

Check box if no longer subject

to filing requirement

REVISED

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

Name and Address of Reporting Person	2. Issuer Name and T	rading Symbol			7. Relation	ship of Reporting Person to Is		<u>-</u>
ATENDIDO ROBERTO ADORABLE (Last) (First) (Middle)	PHILIPINE BUSINES:	S BANK, INC. / PBB					(CHECK BIT SPERGENS)	
(Last) (First) (Middle)	3. Tax Identification Number 105-336-195		5. Statement for Month/Year	_	] =	Director Officer (give title below	<b>)</b>	10% Owner Other (specify below)
422 Ma. Cristina Street, Ayala Alabang Village	·,		<b>1</b> Aug-14			_		
(Street)	4. Citizenship		6. If Amendment, I Original (Month)		1		Director	<u> </u>
Muntilupa City	Hilipino		1		ł			
(City) (Province) (Postal Code)				Table 1 - Equ	uity Securitie	s Beneficially Owned		
1. Class of Equity Security	2. Transaction Date	4. Securities Acquir	red (A) or Disposed o	f (D)	3. Amount Month	of Securities Owned at End of	4 Ownership Form: Direct (D) or indirect (I) *	Nature of Indirect Beneficial     Ownership
	(Month/Day/Year)	Amount	(A) or (D)	Price	- %	Number of Shares		
Common (Beginning)	7/15/2014		VV (D)	1		58,000		Record owner is the
					1			beneficial owner of
	8/12/2014	1	25% Sto	ck Div. Decla	ration	14,500		the said shares
								indicated.
				TOTAL		72,500		
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(Print or Type Responses)

if the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

Table ii - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	Number of Derivation     Acquired (A) or Dis		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and / Underlying S		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date		Amount or Number of Shares		End of Month	or Indirect (I) "	
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 of Response:	••
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Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

## Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

## Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer,
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

## Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

1 15 7

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate.

.....

ROBERTO A. ATENDIDO / DIRECTOR

A. ATENDIDO / DIRECTOR (Name/Title)





109092014001711



# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, MandaluyongCity, MetroManila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Company Information

SEC Registration No. A199701584

Company Name PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

)

Industry Classification Savings And Mortgage Banking

Company Type Stock Corporation

## **Document Information**

Document ID 109092014001711

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23B

Period Covered August 12, 2014

No. of Days Late 0
Department CFD

Remarks LETICIA MACAM YAO

# **COVER SHEET**

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# SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

FORM 23-B

Check box if no longer subject to filing requirement

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

1. Name and Address of Reporting Person 2. Issuer Name and Trading Symbol				•		7. Relationship of Reporting Person to Issuer					
IYAO	LETICIA MACAM	PHILIPINE BUSINESS					,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				
YAO (Last)	(First) (Middle)	3. Tax Identification Number 122-514-810	5, Statement for Month/Year		] =	Director Officer (give title below	)	10% Owner Other (specify below)			
84 DAPITA	N ST.,			Aug-14							
	(Street)	4. Citizenship		6. If Amendment, I Original (Month)				Director			
Quezon Cr	ty	Hilipino									
(City)	(Province) (Postal Code)				Table 1 - Eq	uity Securiti	es Beneficially Owned				
1. Class of Equity Security		2. Transaction Date	4. Securities Acq	uired (A) or Disposed o	f (D)	3. Amount Month	of Securities Owned at End of	4 Ownership Form: Direct (D) or Indirect (I) *	Nature of Indirect Beneficial     Ownership		
		(Month/Day/Year)	Amount	(A) or (D)	Price	- %	Number of Shares				
Common (I	Beginning)	7/15/2014		<i>(y s. (c)</i>	1		896,286		Record owner is the		
							-		beneficial owner of		
<u> </u>	-	8/12/2014		25% Sto	ck Div. Decla	ration	224,071		the said shares		
		<u> </u>		Ī					indicated.		
					TOTAL		1,120,357				
				<del> </del>		<del> </del>		<u> </u>	<u> </u>		
					7						
•	e in beneficial ownership is 50% o	·		0 Z		ling ,	Westerland & Verdingstable & midings against gen Apart		(Print or Type Responses)		

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

FORM 23-B (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	Transaction     Date (Month/Day/Yr)	Number of Derivati Acquired (A) or Dis		5. Date Exercisable and Expiration Date (Month/Day/Year)	6. Title and a		7. Price of Derivative Security	8, No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of indirect Beneficial Ownership	
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (I)	
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Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNÉRSHIP (59% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

## Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name:
- b. Residence or business address:
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

## Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

## Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and believed	ef, I certify that the information set for	orth in this Report is true, complete and accurate.
This report is signed in the City of	on 20	

(Signature of Reporting Person)

LETICIA M. YAO / DIRECTOR (Name/Title)





109092014001698



## SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Company Information

SEC Registration No. A199701584

Company Name PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

,

Industry Classification Savings And Mortgage Banking

Company Type Stock Corporation

### **Document Information**

Document ID 109092014001698

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23B

Period Covered August 12, 2014

No. of Days Late 0

Department CFD

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# SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

FORM 23-B

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to filing requirement

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

2. Issuer Name and T	rading Symbol		*-11	7. Relation	ship of Reporting Person to Is		
PHILIPINE BUSINESS	BANK, INC. / PBB					(Creck an apparation)	
<ol> <li>Tax identification</li> <li>Number</li> </ol>		5. Statement for Month/Year			Director Officer (give title below	v)	10% Owner Other (specify below)
· · · · · · · · · · · · · · · · · · ·		🗂 Aug-14					
4. Citizenship				7		Director	
Hilipino				-			
			Table 1 - Ed	quity Securiti	es Beneficially Owned		
Transaction     Date	4. Securities Acqu	ilred (A) or Disposed or	(D)	3. Amount Month	of Securities Owned at End of	4 Ownership Form: Direct (D) or Indirect (i) *	Nature of Indirect Beneficial     Ownership
(Month/Day/Year)			,	- %	Number of Shares		
7/15/2014		(A) or (D)	Price	+	128 000		Record owner is the
771072014	†	†	-	-	120,000		beneficial owner of
8/12/2014		25% Sto	ck Div. Decla	ration	32.000		the said shares
							indicated.
			TOTAL		160,000		
						ļ	
	PHILIPINE BUSINESS 3. Tax Identification Number 125-401-609 4. Citizenship Hilipino 2. Transaction Date (Month/Day/Year) 7/15/2014	3. Tax Identification Number 125-401-609  4. Citizenship I- IIIPINO  2. Transaction Date  4. Securities Acqu	PHILIPINE BUSINESS BANK, INC. / PBB  3. Tax Identification Number 125-401-609  Aug-14  4. Citizenship 6. If Amendment, Doriginal (Month) Coriginal (Month) Philipino  2. Transaction Date (Month/Day/Year)  Amount (A) or (D)	PHILIPINE BUSINESS BANK, INC. / PBB  3. Tax Identification Number 125-401-609  Aug-14  4. Citizenship 6. If Amendment, Date of Original (Month/Year)  Filipino  Table 1 - Ed  2. Transaction Date (Month/Day/Year)  Amount (A) or (D) Price  7/15/2014  8/12/2014  25% Stock Div. Decla	PHILIPINE BUSINESS BANK, INC. / PBB  3. Tax Identification Number 125-401-609  Aug-14  4. Citizenship 6. if Amendment, Date of Original (Month/Pear)  Filiptino  Table 1 - Equity Securiti  2. Transaction Date (Month/Day/Year)  Amount (A) or (D)  Price  7/15/2014  8/12/2014  25% Stock Div. Declaration	PHILIPINE BUSINESS BANK, INC. / PBB  3. Tax Identification Number 125-401-609  Aug-14  4. Citizenship 6. If Amendment, Date of Original (MonthYear)  Filipino  Table 1 - Equity Securities Beneficially Owned  2. Transaction Date (Month/Day/Year)  4. Securities Acquired (A) or Disposed of (D)  Date (Month/Day/Year)  Amount (A) or (D) Price  7/15/2014  8/12/2014  25% Stock Div. Declaration 32,000	PHILIPINE BUSINESS BANK, INC. / PBB  3. Tax Identification Number 125–401–609  AUG-14  4. Citizenship 6. If Amendment, Date of Original (Month/Year)  Table 1 - Equity Securities Beneficially Owned  2. Transaction Date (Month/Day/Year)  4. Securities Acquired (A) or Disposed of (D)  Date (Month/Day/Year)  Amount (A) or (D) Price  7/15/2014  8/12/2014  25% Stock Div. Declaration 32,000

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder, or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	Number of Derivat Acquired (A) or Dis		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and a Underlying S		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at	9, Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or indirect (I) *	
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Explanation	n of Responses	1.
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Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

#### Item 1. > Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name:
- b. Residence or business address:
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

#### Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer,
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board:
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

#### Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

SEP 0 8 2014.

(Signature of Reporting Person)

HONORIO O. REYES-LAO / DIRECTOR (Name/Title)

109092014001694



## SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, MetroManila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Company Information

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Commons None

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Company Name

PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

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Industry Classification

Savings And Mortgage Banking

Company Type

Stock Corporation

#### **Document Information**

Document ID 109092014001694

Document Type

Statement of Changes in the Beneficial Ownership

Document Code

23B

Period Covered

August 12, 2014

No. of Days Late

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Department

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Remarks

AMADOR TUMANENG VALLEJOS JR.

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# SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

**FORM 23-B** 

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to filing requirement

REVISED

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

<ol> <li>Name and Address</li> </ol>	of Reporting Person	2. Issuer Name and Tr	ading Symbol			7. Relation	nship of Reporting Person to	SSUEL SHOWERED	<del></del>
VALLEJOS	AMADOR, JR. TUMANENG	PHILIPINE BUSINESS	BANK, INC. / PBB					(Ortock an approximo)	
(Last)	(First) (Middle)	3. Tax Identification Number 103-465-683		5. Statement for Month/Year		1 =	Director Officer (give title be	low)	10% Owner Other (specify below)
6 Tangub St.	, Alabang Hills,	······································		<b>1</b> Aug-14					
	(Street)	4. Citizenship		6. If Amendment, I Original (Month)		7		Director	
Muntinlupa		Filipino	_						
(City)	(Province) (Postal Code)				Table 1 - Equity	Securities	Beneficially Owned		
1. Class of Equity Sec	curity	Transaction     Date	4. Securities Acqu	ired (A) or Disposed o	f (D)	3. Amour End of Mo	nt of Securities Owned at nth	4 Ownership Form: Direct (U) or Indirect (I)	Nature of Indirect Beneficial     Ownership
		(Month/Day/Year)	Amount	(A) or (D)	Price		Number of Shares	1	
Common (Be	ginning)	7/15/2014	Allocate	(A) W (D)	FIRE	1	20,574		Record owner is the
				1					beneficial owner of
		8/12/2014		25% Sto	k Div. Declar	ration	5,143		the said shares
									indicated.
					TOTAL		25,717		_
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(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	Number of Derivati     Acquired (A) or Dis		5. Date Exercisable and Expiration Date (Month/Day/Year)	<u>.                                    </u>	6. Title and Underlying 5	Securities	7. Price of Derivative Security	8. No, of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	]	End of Month	or indifect (I)	
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Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

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# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

#### Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name:
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

#### Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer,
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer,
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

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- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

#### Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

(Signature of Reporting Person)

AMADOR T. VALLEJOS, JR. / DIRECTOR (Name/Title)





109092014001682



# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, MetroManila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Company Information

SEC Registration No. A199701584

Company Name PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

)

Industry Classification Savings And Mortgage Banking

Company Type Stock Corporation

#### **Document Information**

Document ID 109092014001682

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23B

Period Covered August 12, 2014

No. of Days Late 0

Department CFD

Remarks PATERNO HIPOLITO DIZON

## **COVER SHEET**

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# SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

FORM 23-B

Check box if no longer subject

to filing requirement

REVISED

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

1. Name and Addre	ss of Reporting Person	2. Issuer Name and Tr	ading Symbol			7. Relation	ship of Reporting Person to Is:		<del></del>
DIZON	PATERNO HIPOLITO	PHILIPINE BUSINESS	BANK, INC. / PBB					(CHECK SH SPENDONS)	
(Last)	(First) (Middle)	3. Tax Identification Number 118-192-518		5. Statement for Month/Year		<u> </u>	Director Officer (give title below	)	10% Owner Other (specify below)
66 Boston S	St., Cubao,			Aug-14					
	(Street)	4. Citizenship		6. If Amendment, I Original (Month)				Independent Di	rector
Quezon City	У	Hilipino		-					
(City)	(Province) (Postal Code)				Table 1 - Eq	uity Securitie	s Beneficially Owned		
1. Class of Equity Security	Security	Transaction     Date	4. Securities Acqu	ired (A) or Disposed o	f (D)	3. Amount Month	of Securities Owned at End of	4 Ownership Form: Direct (D) or Indirect (I) *	6. Nature of Indirect Beneficial Ownership
		(Month/Day/Year)	Amount	(A) or (D)	Price	- %	Number of Shares		
Common (B	leginning)	7/15/2014		(1) (1)	1 1100		70,058		Record owner is the
	<u> </u>								beneficial owner of
	· · · · · · · · · · · · · · · · · · ·	8/12/2014		25% Sto	ck Div. Decla	aration	17,514		the said shares
									indicated.
					TOTAL		87,572		
				<u> </u>	<u></u>				

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	Conversion or     Exercise Price     of Derivative     Security	3. Transaction Date (Month/Day/Yr)	Number of Derivat Acquired (A) or Dis		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and / Underlying S	ecurities	7. Price of Derivative Security	8, No, of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Neture of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (I)	
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Explanation of Re	esponses:
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Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

#### Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

#### Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries:
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer,
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

#### Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

(Signature of Reporting Perso

PATERNO H. DIZON / INDEPENDENT DIRECTOR (Name/Title)

# **COVER SHEET**

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	(Company's Full Na	me)	
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CA	LOOCAN	CITY	
(Ви	iness Address; No. Street City	/ Town / Province)	
Alice P. Rodil		36:	3-3333 local 2004
Contact Person		Comp	any Telephone Number
	SEC FORM 2	23-B	
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Fiscal Year			Annual Meeting
	Secondary License Type	, if Applicable	
Dept. Requiring this Doc.		Amende	ed Articles Number/Section
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# SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

**FORM 23-B** 

Check box if no longer subject to filing requirement

REVISED

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

Name and Address of Reporting Person	<ol><li>Issuer Name and Tr</li></ol>	rading Symbol	•		7. Relation	ship of Reporting Person to Iss	(Citory all abblicatio)	
STA, CATALINA BENJAMIN, JR DELA ROSA	PHILIPINE BUSINESS	BANK, INC. / PBB					(Onosital applicatio)	
STA. CATALINA BENJAMIN, JR DELA ROSA (Last) (First) (Middle)	Tax Identification     Number     106-906-404		5. Statement for Month/Year		<b>-</b>	Olrector Officer (give title below	)	10% Owner Other (specify below)
12 B Amorsolo East, # 23 Amorsolo Drive, Rockwell C	enter,		Aug-14					
(Street)	4. Citizenship		If Amendment, E     Original (Month)		1		Independent D	rector
Makati City (City) (Province) (Postal Code)	Hilipino							
(City) (Province) (Postal Code)			<u> </u>	Table 1 - Equ	ity Securities	Beneficially Owned		
1. Class of Equity Security	Transaction     Date	4. Securities Acqu	red (A) or Disposed	of (D)	Month			Nature of Indirect Beneficial     Ownership
	(Month/Day/Year)	Amount	(A) or (D)	Price	<b>⊣</b> %	Number of Shares		
Common (Beginning)	7/15/2014		( ) ( )	1,1125		30,058	·	Record owner is the
								beneficial owner of
	8/12/2014		25% Sto	ck Div. Decla	ration	7,514		the said shares
	i				T		•	indicated.
				TOTAL		37,572	-	
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(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder, or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

FORM 23-B (continued)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	Number of Derivat     Acquired (A) or Dis		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and a Underlying S	securities	7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Explication Date	Title	Amount or Number of Shares		End of Month	of Indirect (I) "	
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Explanation	of	Responses:	
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Note: Fits three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

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- a. Name:
- b. Residence or business address:
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

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- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

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- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
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- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

nature of Reporting Person)

BENJAMIN R. STA. CATALINA, JR. / INDEPENDENT DIRECTOR (Name/Title)





109092014001637



## SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, MetroManila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

### **Barcode Page**

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Receiving Branch : SEC Head Office

Receipt Date and Time: September 09, 2014 03:14:04 PM

Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No. A199701584

Company Name PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

)

Industry Classification Savings And Mortgage Banking

Company Type Stock Corporation

#### **Document Information**

Document ID 109092014001637

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23B

Period Covered August 12, 2014

No. of Days Late 0

Department CFD

Remarks ROBERTO SILVA SANTOS

## **COVER SHEET**

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#### SECURITIES AND EXCHANGE COMMISSION Metro Mantla, Philippines

**FORM 23-B** 

Check box if no longer subject to filing requirement

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

Name and Address of Reporting Person	2. Issuer Name and T	rading Symbol	<del></del>		<ol><li>Relation</li></ol>	ship of Reporting Person to I	SUB!	
SANTOS ROBERTO SILVA	PHILIPINE BUSINESS	BANK, INC. / PBB					<b>(</b> ,	
(Last) (First) (Middle)	Tax Identification     Number	<u> </u>	5. Statement for Month/Year		_	Director Officer		10% Owner Other
25 Quiogue Street, Caniogan	123-467-623		Aug-14			<b>G</b>	·· <b>·</b>	(,
(Street)	4. Citizenship		8. If Amendment, D Original (Month)	ste of			Corporate Secre	etary
Pasig City	Hilipino							
(City) (Province) (Postal Code)				•	•	es Beneficially Owned		
1. Class of Equity Security	Transaction     Date	4. Securities Acq	sired (A) or Disposed of		3. Amount Month	of Securities Owned at End	4 Ownership Form: Direct (D) or Indirect (i)	Nature of Indirect Beneficial     Ownership
	(Month/Day/Year)	Amount	(A) or (D)	Price	%	Number of Shares		
Common (Beginning)	7/15/2014		1			8,000		Record owner is the
		1						beneficial owner of
	8/12/2014		25% Sto	ck Div. Declara	ation	2,000		the said shares
		İ	- <u> </u>		Ī			indicated.
		_		TOTAL		10,000		_
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Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

(1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:

capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

- (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
- (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

#### FORM 23-B (continued)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

Exercise Price	Date	Number of Derivating     Acquired (A) or Dis	ve Securities posed of (D)	5. Date Exercisable and Expiration Date (Month/Day/Year)				7. Price of Derivative Security	Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10, Nature of Indirect Beneficial Ownership	
		Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or indirect (i) *		
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	Exercise Price of Derivative	of Derivative (Month/Day/Yr)	Exercise Price of Derivative Security  Date (Month/Day/Yr) Acquired (A) or Dis	Exercise Price of Derivative Security  Date (MonthuDay/Yr) Acquired (A) or Disposed of (D)	Exercise Price Date Acquired (A) or Disposed of (D) Exercisable and Expiration Date (Month/Day/Yr) Security Date Exercisable	Exercise Price Date Acquired (A) or Disposed of (D) Exercisable and Expiration Date (Month/Day/Yr) (Month/Day/Year)  Date Exercisable Expiration	Exercise Price Date Acquired (A) or Disposed of (D) Exercisable and Expiration Date  (Month/Day/Yr) Exercisable and Expiration Date  (Month/Day/Year)  Date Exercisable Expiration	Exercise Price of Derivative Security    Date	Exercise Price of Derivative Security    Acquired (A) or Disposed of (D)   Exercisable and   Underlying Securities   Derivative Security	Exercise Price of Derivative of Derivative Security  Acquired (A) or Disposed of (D)  Exercisable and Expiration Date (Month/Day/Yer)  Expiration Date (Month/Day/Year)  Date Exercisable Expiration Date (A) or (D)  Date Exercisable Expiration Date (A) or (D)  Amount (A) or (D)  Date Exercisable Date (Month/Day/Year)  Derivative Securities Derivative Securities Securities Derivative Securities D	Exercise Price of Derivative Security    Acquired (A) or Disposed of (D)   Exercise ble and   Underlying Securities   Derivative Security   Derivative Security   Security   Derivative Security   Sec	

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Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

#### item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name:
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

#### Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer,
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

#### Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

(Signature of Reporting Person)

ATTY. ROBERTO S. SANTOS / CORP. SEC. (Name/Title)





109092014001628



## SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

#### **Barcode Page**

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Receiving Officer/Encoder : Jose Rodel Taruc

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Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No. A199701584

Company Name PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

1

Industry Classification Savin

Savings And Mortgage Banking

Company Type

Stock Corporation

#### **Document Information**

Document ID 109092014001628

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23B

Period Covered August 12, 2014

No. of Days Late 0
Department CFD

Remarks JOSEPH EDWIN SIMON CABALDE

## **COVER SHEET**

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### SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

FORM	23-B

Check box if no longer subject to filling requirement

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

REVISED

Filed pursuant to Section 23 of the Securities Regulation Code

. Name and Address of Reporting Person	2. Issuer Name and	Itading Symbol							
CABALDE JOSEPH EDWIN SIMON  (First) (Middle)	PHILIPINE BUSINES		_		7. Relatio	onship of Reporting Person to	Issuer		
(Street)  Marikina Hgts (Street)  Marikina City (Province) (Postal Code)	3. Tax Identification Number  117-492-086  4. Citizenship  Filipino		5. Statement for Month/Year Aug-14 6. If Amendment, I Original (Wonth)	Date of	Director Officer  SVP - TREASURER				
Class of Equity Security	2. Transaction	4 Securities Asset				ies Beneficially Owned			
	Date (Month/Day/Year)		ed (A) or Disposed o	(D)	Amount of Securities Owned at End of Month		of 4 Ownership Form: Urrect (U) or indirect (i)	6. Nature of Indirect Benefici	
Common (Beginning)	7/15/2014	Amount	(A) or (D)	Price	*	Number of Shares		- Carles stap	
						5,000		Record owner is the	
	8/12/2014		25% Stoc	k Div. Declara	etion	4.050		beneficial owner o	
				K BIV. Declar	I	1,250		the said shares	
	<del></del>			TOTAL		6,250		indicated.	
	<del>                                     </del>								

lf the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

(Print or Type Responses)

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
- (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security. (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

FÓRM 23-B (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion of Exercise Price of Dertvative Security	3. Transaction Date (Montif/Day/Yr)	Acquired (A) or Disposed of (D)		Expiration Date (Month/Day/Year)		6. Title and Aniount of Underlying Securities		Derivative Security		9. Owner- ship Formi of Derivative Security;	10. Nature of Indirect Beneficial Ownership
			Amount:	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	Direct (D) or indirect (1)	
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Xplanation of Responses:												

Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional shedts if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

#### ltem 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name:
- b. Residence or business address:
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and f. Citizenship.

#### item 3. **Purpose of Transaction**

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer,
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describé any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the fight to receive of the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this item and, if such interest relates to more than five (5%) percent of the class, such person should be
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities,

## Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describé any contract, an'angement, understanding or relationship among the person named in them 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan

#### ltem 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint veritures, options, puts, calls, guarantées of loans, guarantées against losses or the giving or withholding of

After reasonable inquiry and to the best of my knowledge and belief t	rtify that the information set forth in this Report is true, complete and accurate.
This report is signed in the City of	rtify that the information set forth in this Report is true, complete and accurate
This report is signed in the City of	on

JOSEPH EDWIN S. CABALDE / SVP - TREASURER

(Name/Title)



109092014001630



## SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Company Representative

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Company Information

SEC Registration No.

A199701584

Company Name

PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

)

Industry Classification

Savings And Mortgage Banking

Company Type

Stock Corporation

#### **Document Information**

Document ID

109092014001630

Document Type

Statement of Changes in the Beneficial Ownership

Document Code

23B

Period Covered

August 12, 2014

No. of Days Late

0

Department

**CFD** 

Remarks

FELIPE VILLAFUERTE FRIGINAL

# **COVER SHEET**

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# SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

<b>FORM</b>	23-B
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Check box if no longer subject

to filing requirement

REVISED

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

1. Name and Address	of Reporting Person		2. Issuer Name and T	rading Symbol			7. Relationship of Reporting Person to Issuer										
FRIGINAL	FELIPE	Villafuerte	PHILIPINE BUSINESS	BANK, INC. / PBB					(Check all applicable)								
(Last)	(First)	(Middle)	Tax Identification     Number		5. Statement for Month/Year		1 =	Director Officer (give title bel	ow)	10% Owner Other (specify below)							
Red Cherry co	r. Rambutan S	treet	107-190-718		Aug-14			-	•								
	(Street)		4. Citizenship		6. If Amendment, E Original (Month)				FIRST VICE-PR	ESIDENT							
Valley View Ex			Filipino														
(City)	(Province)	(Postal Code)				Table 1 - Equ	guity Securities Beneficially Owned										
1. Class of Equity Se	curity		2. Transaction Date	4. Securities Acqu	ired (A) or Disposed o	f (D)	of Month	of Securities Owned at En	4 Ownership Form: Direct (D) or Indirect (I) *	Nature of indirect Beneficial     Ownership							
			(Month/Day/Year)	Amount	(A) or (D)	Price	- *	Number of Shares									
Common (Be	ginning)		7/15/2014					3,000		Record owner is the							
										beneficial owner of							
	_		8/12/2014		25% Sto	ck Div. Declai	ration	750		the said shares							
										indicated.							
						TOTAL	_	3,750									
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(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder, or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security,

# FORM 23-B (confinued)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	Conversion or S. Transaction     Exercise Price Date Acquired (A) or Disposed of (D)     Of Derivative (Month/Day/Yr)     Security					***************************************	8. Title and Underlying 8		7. Price of Derivative Security	8. No, of Derivative Securities Beneficially Owned at	9, Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership
		· 	Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (i) *	
									<u> </u>			
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xplanation	of Res	ponses:
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Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

DISCLOSURE REQUIREMENTS

IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP

(50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER).

## Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name:
- b. Residence or business address:
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

### Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

# Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

(Signature of Reporting Person)

FELIPE V. FRIGINAL / FVP (Name/Title)





09092014001642



# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

# **Barcode Page**

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Receiving Branch : SEC Head Office

Receipt Date and Time: September 09, 2014 03:14:56 PM

Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No. A199701584

Company Name PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

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Industry Classification Savings And Mortgage Banking

Company Type Stock Corporation

# **Document Information**

Document ID 109092014001642

Document Type Statement of Changes in the Beneficial Ownership

Document Code 238

Period Covered August 12, 2014

No. of Days Late 0
Department CFD

Remarks MIAMI V. TORRES

# **COVER SHEET**

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# SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

**FORM 23-B** 

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

1. Name and Address of Reporting Person	2. Issuer Name and T	rading Symbol			7. Relation	ship of Reporting Person to Is	suer	
TORRES MIAMI V.	PHILIPINE BUSINESS	BANK, INC. / PBB					(nitary at abhiranta)	
(Last) (First)	Tax Identification     Number		5. Statement for Month/Year			Director Officer		10% Owner Other
50 Maria Dizon Street, Gloria Heights Subd.	107-204-010		Aug-14			(9.10 220 24.0.1	,	(apada) barany
(Street)	4. Citizenship		6. If Amendment, D Original (Month)				VICE-PRESIDE	NT
Antipolo	Hilipino				ļ			
(City) (Province) (Postal Code)				Table 1 - Equ	ity Securit	es Beneficially Owned		
1. Class of Equity Security	Transaction     Date	4. Securities Acquir	red (A) or Disposed o	(D)	3. Amount	of Securities Owned at End of	4 Ownership Form: Direct (D) or Indirect (I) *	Nature of Indirect Beneficial     Ownership
	(Month/Day/Year)				%	Number of Shares		
		Amount	(A) or (D)	Price				<del></del>
Common (Beginning)	7/15/2014					4,000		Record owner is the
						1		beneficial owner of
	8/12/2014		25% Sto	ck Div. Declar	ation	1,000		the said shares
						-		indicated.
				TOTAL		5,000		
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(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securitles beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
- (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

FORM 23-B (continued)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	Number of Derivation     Acquired (A) or Dis		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and J Underlying S		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of indirect Beneficial Ownership
			Amount	Amount (A) or (D)		Expiration Date	Title	Amount or Number of Shares		End of Month	or indirect (i) *	
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Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

## Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name:
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

# Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

# Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief,	, I certify that the information set forth in this Report is true, complete and	accurate.
This report is signed in the City of	f, I certify that the information set forth in this Report is true, complete and on SEP	1

By: (Signature of Reporting Person)

MIAMI V. TORRES / VP (Name/Title)





09092014001649



# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, MandaluyongCity, MetroManila, Philippines Tel:(632)726-0931 to 39 Fax:(632)725-5293 Email: mis@sec.gov.ph

# **Barcode Page**

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Receiving Branch : SEC Head Office

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Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No. /

SEC Registration No.

A199701584

Company Name

PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

)

Industry Classification

Savings And Mortgage Banking

Company Type

Stock Corporation

# **Document Information**

Document ID

109092014001649

Document Type

Statement of Changes in the Beneficial Ownership

**Document Code** 

23B

Period Covered

August 12, 2014

No. of Days Late

0

Department

**CFD** 

Remarks

AMELITA HILARIO CARILLO

# **COVER SHEET**

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# SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

FORM 23-B

Check box if no longer subject to filing requirement

REVISED	•
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Section 1997	æ,

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

CARRILLO AMELITA HILARIO PHILIPINE BUSINESS BANK, INC. / PBB  S. Tax resmundation Number  S. Suttermatrior Month/Year  Give title below)  Jasmin St., IS Cruz Subdivision  (Street)  4. Citizenship  Las Pinas City Metro Manila 1/51  (City) (Province) (Province) (Province)  Table 1 - Equity Securities Beneficially Owned  1. Class of Equity Security  Table 1 - Equity Securities Common (Beginning)  Common (Beginning)  O7/15/2014  Amount  O7/15/2014  Date (Month/Pay/Year)  Amount  O7/15/2014  D8/12/2014  Date (City)  Date (City)  Date (City)  Date (A) Cruz Subdivision  City (Give title below)  Date (Give title below)  VICE-PRESIDENT  VICE-PRESIDENT  Table 1 - Equity Securities Cwned at End of Month Uncert (U) or Indirect (U) Ownership O	Name and Address of Reporting Person	2. Issuer Name and T	rading Symbol			7. Relation	ship of Reporting Person to	Ssuer (Check all applicable)								
Common (Beginning)	CARRILLO AMELITA HILARIO		BANK, INC. / PBB					(Oligica all application)	71 84 4 844785							
Jasmin St., IS Cruz Subdivision  (Street)  4. Citizenship  8. If Amendment, Date of Unginal (Month rear)  Las Pinas City Metro Mantia 1/51  (City)  (Province)  (Postal Code)  Table 1 - Equity Securities Beneficially Owned  1. Class of Equity Security  2. Transaction Date (Month/Dey/Year)  (Month/Dey/Year)  Amount  Amount  (A) or (D)  Price  Common (Beginning)  28.571.988  Aug-14  4. Citizenship  8. If Amendment, Date of Unginal (Month rear)  VICE-PRESIDENT  VICE-PRESIDENT  6. Nature of Indirect Beneficial Ownership  Ownership  Ownership  Amount  (A) or (D)  Price  Common (Beginning)  08/12/2014  25% Stock Div. Declaration  125  the said shares	(Elist) (First)					] =	Otticer	ow)	Other							
Las Pinas City Metro Mantia 1/51 (City) (Province) (Postal Code)  Table 1 - Equity Securities Beneficially Owned  1. Class of Equity Security  2. Transaction Date (Month/Day/Year)	Jasmin St., 18 Cruz Subdivision	208-571-988		Aug-14			(give add ac		,,,							
(City) (Province) (Postal Code)  Table 1 - Equity Securities Beneficially Owned  1. Class of Equity Security  2. Transaction Date (Month/Day/Year)  Amount (A) or (D) Price  Common (Beginning)  07/15/2014  4. Securities Acquired (A) or Disposed of (D) Amount of Securities Owned at End of Month (Direct (U) or Indirect (I) Ownership Form: Unrect (U) or Indirect (I) Ownership Form: O	(Street)	4. Citizenship					NT									
Table 1 - Equity Securities Beneficially Owned  1. Class of Equity Security  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  3. Amount of Securities Owned at End of Month When the Common (Beginning)  4. Securities Acquired (A) or Disposed of (D) Date (Month/Day/Year)  Amount (A) or (D) Price  500  Record owner is the beneficial owner of beneficial owner of beneficial owner of beneficial owner of beneficial owner of the said shares		Hilipino	Hilipino													
1. Class of Equity Security    Date (Month/Day/Year)   Date (Month)   Date					Table 1 - Equ	quity Securities Beneficially Owned										
Amount (A) or (D) Price   Solution	Class of Equity Security		4. Securities Acqu	uired (A) or Disposed	of (D)		of Securities Owned at End		· · · · · · · · · · · · · · · · · ·							
Common (Beginning)         07/15/2014         500         Record owner is the beneficial owner of the said shares           08/12/2014         25% Stock Div. Declaration         125         the said shares		(Month/Day/Year)	Amount	(A) or (D)	Price	*	Number of Shares									
beneficial owner of 08/12/2014 25% Stock Div. Declaration 125 the said shares	Common (Beginning)	07/15/2014	4	(A) GI (D)	1 100	<del> </del>	500		Record owner is the							
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(Print or Type Responses)

Reminder. Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security, and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an Indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner,
  - (C) held by a corporation of which such person is a controlling shareholder, or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

FORM 23-B (continued)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	Conversion or Exercise Price Date Date     Generative Security      Acquired (A) or Disposed of (D)  Acquired (A) or Disposed of (D)			5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Underlying S	Securities	7. Price of Derivative Security	Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership	
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (i)	
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Explanation of Responses:

Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

## Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

## Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

# Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

# Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to yote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved: (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

#### Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer Item 5.

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3: and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true 

(Signature of Reporting Person)



09092014001655



# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, MetroManila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No. A199701584

Company Name PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

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Industry Classification Savings And Mortgage Banking

Company Type Stock Corporation

## **Document Information**

Document ID 109092014001655

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23B

Period Covered August 12, 2014

No. of Days Late 0
Department CFD

Remarks FRANCISCO MIRAFLORES LOPEZ

# **COVER SHEET**

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### SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

**FORM 23-B** 

STATEMENT (	TE CHANGES IN RE	NEFICIAL OWNERSHIP	OF SECURITIES
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Filed pursuant to Section 23 of the Securities Regulation Code

1. Name and Addre	ess of Reporting Person	2. Issuer Name and Tr	ading Symbol	·	·-	7. Relationship of Reporting Person to Issuer								
LOPEZ	FRANCISCO MIRAFLORES	PHILIPINE BUSINESS	BANK, INC. / PBB					<b>(,</b>						
(Last)	(First)	Tax Identification     Number		5. Statement for Month/Year		] =	Director Officer	,,	10% Owner Other					
33 Henares	Street, BF Homes,	120-154-115		Aug-14			_	•						
	(Street)	4. Cittzenship		6. If Amendment, D Unginai (Month Y				VICE-PRESID	ENT .					
Parañaque		Filipino												
(City)	(Province) (Postal Code)				Table 1 - Equity	quity Securities Beneficially Owned								
1. Class of Equity	Security	Transaction     Date	4. Securities Acqu	uired (A) or Disposed of	(D)	3. Amount Month	of Securities Owned at End of	4 Ownership Form: Direct (U) or Indirect (I)	6. Nature of Indirect Beneficial Ownership					
		(Month/Day/Year)				%	Number of Shares	1						
		74.75	Amount	(A) or (D)	Price	1								
Common (E	Beginning)	7/15/2014				ļ	17,000		Record owner is the					
									beneficial owner of					
		8/12/2014		25% Sto	k Div. Declar	ation	4,250		the said shares					
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					TOTAL		21,250							
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									(Print or Type Responses)					

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

(1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:

capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

- (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
- (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

if the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding

## FORM 23-B (continued)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Underlying 8		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership
			Amount	Amount (A) or (D)		Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (I)	
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Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

### Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name:
- b. Residence or business address:
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

### Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

### Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate.
This report is signed in the City of on
This report is signed in the City of

(Signature of Reporting Person)

FRANCISCO M. LOPEZ / VP





109092014001672



# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

## **Barcode Page**

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Receipt Date and Time: September 09, 2014 03:21:35 PM

Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No. A199701584

Company Name PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

)

Industry Classification Savings And Mortgage Banking

Company Type Stock Corporation

## **Document Information**

Document ID 109092014001672

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23B

Period Covered August 12, 2014

No. of Days Late 0
Department CFD

Remarks KEITH SY CHAN

	COVER SHEET																												
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	Alice P. Rodil														363-3333 local 2004  Company Telephone Number														
	Contact Person															C	omp	any	Tele	pho	ne N	umb	er 						
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# SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

FORM 23-B	F	ORM	23-B
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Check box if no longer subject

to filing requirement

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

Name and Address of Reporting Person	2. Issuer Name and Tr	ading Symbol			7. Relation	ship of Reporting Person to	SSUEF	
CHAN KEITH SY	PHILIPINE BUSINESS	BANK, INC. / PBB					• • • •	
(Lest) (First) (Middle)	3. Tax Identification Number		5. Statement for Month/Year	_	1 =	Director Officer		10% Owner Other
560 Mariano Marcos St.	103 192 273		Aug-14			• • • • • • • • • • • • • • • • • • • •	,	( <b>-py</b>
(Street)	4. Citizenship		6. If Amendment, I				FIRST VICE-PR	ESIDENT
San Juan, Metro Maniia, 1500	Hilipino							
(City) (Province) (Postal Code)								
(City) (Province) (Postal Code)				Table 1 - Equi	ity Securitio	es Beneficially Owned		
Class of Equity Security	Date	4. Securities Acqui	red (A) or Disposed	•	•	es Beneficially Owned t of Securities Owned at End	4 Ownership Form:	Nature of Indirect Beneficial     Ownership
				of (D)	3. Amount	•		
1. Class of Equity Security	Date .(Month/Day/Year)	4. Securities Acqui	red (A) or Disposed	•	3. Amount of Month	t of Securities Owned at End		Ownership
	Date			of (D)	3. Amount of Month	t of Securities Owned at End		
1. Class of Equity Security	Date .(Month/Day/Year)		(A) or (D)	of (D)	3. Amount of Month %	t of Securities Owned at End		Record owner is the
1. Class of Equity Security	Date (Month/Day/Year) 7/15/2014		(A) or (D)	of (D)	3. Amount of Month %	Number of Shares		Record owner is the beneficial owner of

(Print or Type Responses)

if the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder. Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares;
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner,
  - (C) held by a corporation of which such person is a controlling shareholder, or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

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FORM 23-B .(continued)

# Table ii - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	Transaction     Date (Month/Day/Yr)		Derivative Securiti i) or Disposed of (	D) Exercisable Expiration D (Month/Day)	nte Year)		6. Title and Undertying 5	Securities	7. Price of Derivative Security	Derivative Securities Beneficially Owned at	9, Owner- ship Form of Derivative Security; Direct (D)	10. Nature of indirect Beneficial Ownership
		, ,	Amount	(A) or	(D)		Date	Title	Amount or Number of Shares		End of Month	or Indirect (i)	*
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Explanation of Responses;

Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

# Item 1. \*Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name:
- b. Residence or business address:
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

## Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person:
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

# Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

## Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

(Signature of Reporting Person)

(Name/Title)



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109092014001674



# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, MandaluyongCity, MetroManila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Received From : Head Office

Company Representative

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Company Information

SEC Registration No. A199701584

Company Name PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

)

Industry Classification Savings And Mortgage Banking

Company Type Stock Corporation

## **Document Information**

Document ID 109092014001674

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23B

Period Covered August 12, 2014

No. of Days Late 0

Department CFD

Remarks BENJAMIN DELA ROSA STA. CATALINA, JR.



109092014001657



# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Company Representative

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Company Information

SEC Registration No. A

Company Name

A199701584

PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

)

Industry Classification

Savings And Mortgage Banking

Company Type

Stock Corporation

# **Document Information**

Document ID

109092014001657

**Document Type** 

Statement of Changes in the Beneficial Ownership

Document Code

23B

Period Covered

August 12, 2014

No. of Days Late

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Department

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Remarks

ROSELLE MARI BALTAZAR

# **COVER SHEET**

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# SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

1. Name and Address of Reporting Person	<del></del>	2. Issuer Name and T	rading Symbol		<del></del>	17. Relation:	ship of Reporting Person to is:	suer	·		
BALTAZAR ROSELLE	MARI	PHILIPINE BUSINESS	BANK, INC. / PEB					(Ottook all abbilicania)			
(Last) (First)		Tax Identification     Number		5. Statement for Month/Year		I <u> </u>	Director Officer		10% Owner Other		
		1/9-193-899	· · · · · · · · · · · · · · · · · · ·	Aug-14			Prop see posteri	,	(open, secu,		
(Street)		4. Citizenship		6. If Amendment, D Original (Month)				FIRST VICE-PRI	ESIDENT		
		Hilipino									
(City) (Province)	(Postal Code)				Table 1 - Equ	ity Securitle	s Beneficially Owned				
Class of Equity Security		2. Transaction Date	4: Securities Acqui	red (A) or Disposed of	(D)	3. Amount	of Securities Owned at End of	4 Ownership Form: Direct (D) or Indirect (I)	Nature of Indirect Beneficial     Ownership		
		(Month/Day/Year)	Amount (A) or (D) Price			%	Number of Shares				
Common (Beginning)		7/15/2014		(A) (I (D)	FLICA	<u> </u>	7,000		Record owner is the		
									beneficial owner of		
	·	8/12/2014		25% Sto	k Div. Declar	ation	1,750		the said shares		
									indicated.		
					TOTAL		8,750				
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(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares;
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner,
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

FORM 23-B (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	Conversion or Exercise Price of Derivative Security	Transaction     Date     (Month/Day/Yr)	Number of Derivation     Acquired (A) or Dis		5. Date Exercisable and Expiration Date (Month/Day/Year)		6, Title and Underlying S		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership
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Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (\$D% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE QUTSTANDING CAPITAL STOCK OF ISSUER)

## Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

## Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

# Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

## Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief,	I certify that the informa	ation set forth in this Report i	s true, complete and accurate.
This report is signed in the City of	on	20	
This report is signed in the City of	SEP 0 8 20	14 '	

By: .....(Signature of Reporting Person)

ROSELLE M. BALTAZAR / FVP (Name/Title)

109092014001661



## SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, MandaluyongCity, MetroManila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mls@sec.gov.ph

### **Barcode Page**

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Receiving Branch : SEC Head Office

Receipt Date and Time: September 09, 2014 03:19:22 PM

Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No. A199701584

Company Name PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

Industry Classification Savings And Mortgage Banking

Company Type Stock Corporation

#### **Document Information**

Document ID 109092014001661

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23B

Period Covered August 12, 2014

No. of Days Late 0
Department CFD

Remarks LAURENCE REDOBLE RAPANUT

## **COVER SHEET**

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## SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

FORM 23-B	
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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

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Name and Address of Reporting Person	2. Issuer Name and T	rading Symbol			7. Relation	ship of Reporting Person to	SSUE!	
RAPANUT LAURENCE REDOBLE	PHILIPINE BUSINESS	BANK, INC. / PBB						
(Last) (First)	3, Tax Identification Number		5. Statement for Month/Year		] =	Director Officer		10% Owner Other
2R Bianca, North Belton Communities, Quirino Highway	109-662-971		Aug-14		]	<b>.</b>	,	· · ·
(Street)	4. Citizenship		6. If Amendment, Unginal (MORIO)				ASST. VICE-PF	RESIDENT
Novaliches, Quezon City	Hilipino		<u> </u>					
(City) (Province) (Postal Code)				Table 1 - Equi	ty Securitie	s Beneficially Owned		
1. Class of Equity Security	Transaction     Date	4. Securities Acqui	ired (A) or Disposed	of (D)	3. Amount of Month	of Securities Owned at End	4 Ownership Form: Direct (D) or Indirect (I)	Nature of Indirect Beneficial     Ownership
	(Month/Day/Year)	Amount	(A) or (D)	Price	*	Number of Shares		
Common (Beginning)	7/15/2014		(A) 01 (D)	Life		5,000		Record owner is the
								beneficial owner of
	8/12/2014		25% Sto	ck Div. Decla	ration	1,250		the said shares
	***		1					indicated.
				TOTAL		6,250		
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(Print or Type Responses)

if the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security, and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder, or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

## FORM 23-B (continued)

## Table 11 - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	Transaction     Date (Month/Day/Yr)	Number of Derival     Acquired (A) or Di		Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership
		-	Amount	ount (A) or (D) Date Exercisable Expiration Date		Title	Amount or Number of Shares		End of Month	or Indirect (I)		
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Explanation of Responses:

Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER).

#### Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name
- b. Residence or business address:
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

#### Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

#### Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and	I belief, I certify that the in	nformation set forth in this l	Report is true complete a	and accurate.
This report is signed in the City of	OD	20	( // )	

SFP 0 8 2014 T

(Signature of Reporting Person)

LAURENCE R. RAPANUT / AVP (Name/Title)



109092014001667



## SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, MandaluyongCity, MetroManila, Philippines Tel:(632)726-0931 to 39 Fax:(632)725-5293 Email: mis@sec.gov.ph

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Received From : Head Office

Company Representative

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Company Information

SEC Registration No. A199701584

Company Name PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

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Industry Classification Savings And Mortgage Banking

Company Type Stock Corporation

#### **Document Information**

Document ID 109092014001667

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23B

Period Covered August 12, 2014

No. of Days Late 0

Department CFD

Remarks ROLANDO GALARZA ALVENDIA

## **COVER SHEET**

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## SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

1. Name and Address of	f Reporting Person	2. kisuer Name and Ti	ading Symbol		· - · · · · · · · · · · · · · · · · · ·	7. Relationship of Reporting Person to Issuer							
ALVENDIA	ROLANDO GALARZA	PHILIPINE BUSINESS	BANK, INC. / PBB			ļ							
(Last)	(First)	Tax Identification     Number		5. Statement for Month/Year		<b>l</b> –	Director Officer	**	10% Ovmer Other				
Lot 4B Blk 11	Macopa Street La Unica Hija Subd.	107-182-307		Aug-14			•	•	,, ,				
	(Street)	4. Citizenship		6, if Amencinent, De Onginal (Month)				VICE-PRESID	ENT				
Cupang, Antip	olo City	Filipino		<u> </u>									
(City)	(Province) (Postal Code)				Tab'e 1 - Equity	Securities	Benefic ally Owned						
1. Class of Equity Secu	rity	2. Transaction  Date	4. Securities Acqui	ired (八) or Disposed of		3. Amoun Month	t of Securities Owned at End o	4 Ovnership Form: Direct (D) or Indirect (I)	6. Nature of indirect Beneficial Ownership				
						%	Number of Shares						
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Common (Beg	jirning)	7/15/2014			<del></del>	<u> </u>	6,500		Record owner is the				
					-	<u>L</u>			berieficial owner of				
		8/12/2014		25% Stoc	k Div. Declar	ation	1,625		the said shares				
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(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shamboldings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same nousehold;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (I) subject to any contract arrangement or understanding which gives such person voting power or investment power with respect to such security.

FORM 23-B (continued)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned {e.g., warrants, options, convertible securities}

1. Derivative Security	Conversion or     Exercise Price     of Derivative     Security	3. Transaction Date (Month/Day/Yr)	Number of Derivat     Acquired (A) or Dis		Exercisable and Expiration Date (Month/Day/Yea')		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	Derivative Securities Beneficially Owned at	Si, Owner- ship Form of Derivative Security; Direct (D)	10, Nature of Indirect Beneficial Ownership
			Атоилt	(A) or (D)	Date Exercisable	Expiration Date	T tte	Amount or Number of Shares		End of Month	or Indirect (i) *	
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Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

#### Item 1.\* Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, ts principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name:
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or s milar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

#### Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board:
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- \*\* b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) clays by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

#### Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief,	I certify that the information	set forth in this Report is	s true, complete and accurate
This report is signed in the City of		, 20	

SEP 0 8 2014 1

(Signature cf\Reporting Person)

ROLANDO G. ALVENDIA / VP (Name/Title)



09092014001686



## SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Company Representative

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Company Information

SEC Registration No. A199701584

Company Name PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK-

)

Industry Classification Savings And Mortgage Banking

Company Type Stock Corporation

#### **Document Information**

Document ID 109092014001686

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23B

Period Covered August 12, 2014

No. of Days Late 0
Department CFD

Remarks LUNINGNING TORRES RAMOS

## **COVER SHEET**

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## SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

FORM 23-B

REVISED

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

Check box if no longer subject
 to filing requirement

1. Name and Addr	ess of Reporting Person			2. Issuer Name and Tr	ading Symbol			7. Relations	ship of Reporting Person to is		
RAMOS (Cast)	LUNINGNING (First)	Τ	ORRES	PHILIPINE BUSINESS 3. Tax Identification Number	BANK, INC. / PBB	5. Statement for Month/Year		<u>-</u>	Director Officer	(Orioca or application)	10% Owner Other
	1261 Narciso St.	Pandacan		118-566-997		Aug-14		_	(810 200 0000	•	tahoons noons
	(Street)			4. Citizenship		6. If Amendment, D				ASST. VICE-P	RESIDENT
Manila		1011		⊢ilipino							
(City)	(Province)	(Postal Code)					Table 1 - Equity	Securities	Beneficially Owned		
1 Class of Equity Security			Transaction     Date	4 Securities Acquir	ed (A) or Disposed o	f(D)	3. Amount of Month	of Securities Owned at End	4 Ownership Form: Direct (D) or indirect (I)	Nature of Indirect Beneficial     Ownership	
			(Month/Day/Year)	Amount	(A) or (D)	Price	% Number of Shares				
Common (	Beginning)			7/15/2014					500		Record owner is the
-											beneficial owner of
				8/12/2014		25% Sto	k Div. Declar	ation	125		the said shares
											indicated.
							TOTAL		625		
										-	

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or Indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security, and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

FORM 23-B (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	Transaction     Date (Month/Day/Yr)	Number of Derivat     Acquired (A) or Di		5. Date Exercisable and Expiration Date (Month/Day/Year)	6. Title and Underlying 5		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at	9 Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership	
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (i)	
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Explanation of Responses:

Date

Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

### DISCLOSURE REQUIREMENTS: IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (\$0% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER).

#### item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Item 2. Identity and Background

If the person filling this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filling this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

#### Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer,
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person:
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

#### Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer Item 5.

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Material to be Filed as Exhibits Item 6.

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information sellows in this Report is true, considering the contraction of th	omplete and
accurate. This report is signed in the City of onstp	

(Signature of Reporting Person)

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**LUNINGNING T. RAMOS /AVP** (Name/Title)